

ON THE ČECH NUMBER OF  $C_p(X)$

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ABSTRACT. We discuss the Čech numbers of the spaces  $C_p(X)$  and  $C_p(X, I)$  (where the Čech number of a space  $Z$  is the pseudocharacter of  $Z$  in  $\beta Z$ ). We establish the relation between the Čech numbers of  $C_p(X)$  and of  $C_p(X, I)$ , find some upper and lower bounds for the Čech number of  $C_p(X, I)$  in terms of the cardinal functions of  $X$ , and discuss the minimal possible infinite value that the Čech number of  $C_p(X, I)$  can have.

All spaces considered in this paper are assumed Tychonoff. Given two spaces  $X$  and  $Y$ , we denote by  $C_p(X, Y)$  the space of all continuous functions from  $X$  to  $Y$  equipped with the topology of pointwise convergence (that is, the topology of the subspace of the set of all functions from  $X$  to  $Y$ ,  $Y^X$ , with the Tychonoff product topology). The space  $C_p(X, \mathbb{R})$  is denoted as  $C_p(X)$ .

The symbols  $\omega$ ,  $\mathbb{N}^+$ ,  $\mathbb{R}$ ,  $I$ ,  $\mathbb{Q}$  and  $\mathbb{P}$  stand for the set of all naturals, all positive naturals, the real line, segment  $[0, 1]$ , the space of the rationals and the space  $\omega^\omega$  (homeomorphic to the space of irrationals in  $\mathbb{R}$ ). We assume that all cardinals are equipped with the discrete topology (so the expression  $\tau^\lambda$  means the Tychonoff product of  $\lambda$  copies of a discrete space of cardinality  $\tau$ ). The symbol  $\mathfrak{c}$  denotes the cardinality of continuum.

A classical theorem of D.J. Lutzer and R.A. McCoy [LM] says that  $C_p(X)$  is Čech complete if and only if  $X$  is countable and discrete. V.V. Tkachuk observed in [Tk, Theorem 1.13] that  $C_p(X, I)$  is Čech complete if and only if  $X$  is discrete, thus, if and only if  $C_p(X, I) = I^X$  (naturally, many arguments in this paper are modifications of the proofs in [LM] and [Tk]). It seems natural now to ask: *Given a space  $X$ , how many open sets are necessary to intersect in  $\mathbb{R}^X$  (or  $I^X$ ) to obtain  $C_p(X)$  (or  $C_p(X, I)$ )?* The above statements show that the answer is never  $\omega$ .

**1. The Čech number.**

Recall that if  $A \subset X$ , then the *pseudocharacter of  $A$  in  $X$*  is defined as

$$\Psi(A, X) = \min\{|\mathcal{U}| : \mathcal{U} \text{ is a family of open sets in } X \text{ and } A = \bigcap \mathcal{U}\}.$$

Note that either  $\Psi(A, X) = 1$  or  $\Psi(A, X)$  is infinite.

If  $\tau$  is a cardinal, and  $A$  is a set in a space  $X$ , we say that  $A$  is of *type  $G_\tau$*  (or a  *$G_\tau$ -set*) in  $X$  if  $\Psi(A, X) \leq \tau$ . Similarly, we say that  $A$  is of *type  $F_\tau$*  (or an  *$F_\tau$ -set*) if  $A$  is a union of at most  $\tau$  closed sets in  $X$  (that is,  $\Psi(X \setminus A, X) \leq \tau$ ).

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The unions of at most  $\lambda$   $G_\tau$  sets are called  $G_{\tau\lambda}$ -sets, and the intersections of at most  $\lambda$   $F_\tau$  sets are called  $F_{\tau\lambda}$ -sets; following the tradition, we use the symbols  $\sigma$  for countable unions and  $\delta$  for countable intersections.

**1.1. Definition.** The Čech number of a space  $X$  is

$$\check{C}(X) = \Psi(X, \beta X).$$

Obviously,  $\check{C}(X) = 1$  if and only if  $X$  is locally compact, and  $\check{C}(X) \leq \omega$  if and only if  $X$  is Čech complete.

Define the  $k$ -covering number of a space  $Z$  as

$$kcov(Z) = \min\{|\mathcal{K}| : \mathcal{K} \text{ is a compact cover of } Z\}.$$

Obviously,  $kcov(X) \leq \tau$  if and only if  $X$  is an  $F_\tau$  set in any space that contains  $X$ .

The next statements are immediate:

**1.2. Proposition.**  $\check{C}(X) = kcov(\beta X \setminus X)$ .

**1.3. Proposition.** If  $Y$  is a closed subspace of  $Z$ , then  $kcov(Y) \leq kcov(Z)$ .

**1.4. Proposition.** If  $f: Z \rightarrow Y$  is a continuous mapping, and  $f(Z) = Y$ , then  $kcov(Y) \leq kcov(Z)$ .

**1.5. Proposition.** If  $f: Z \rightarrow Y$  is a perfect mapping, and  $f(Z) = Y$ , then  $kcov(Y) = kcov(Z)$ .

**1.6. Proposition.** Always  $kcov(X \times Y) = kcov(X) \cdot kcov(Y)$ .

The fact that for any perfect mapping  $p: X \rightarrow Y$ ,  $p^*(\beta X \setminus X) = \beta Y \setminus Y$  where  $p^*: \beta X \rightarrow \beta Y$  is the continuous extension of  $p$ , together with Proposition 1.5 and Proposition 1.2 yields

**1.7. Proposition.** If  $Y$  is a perfect image of  $X$ , then  $\check{C}(X) = \check{C}(Y)$ .

We now can prove that (just like for the Čech completeness) we can use any compactification instead of  $\beta X$  to calculate the Čech number:

**1.8. Proposition.** Let  $bX$  be a compactification of  $X$ . Then  $\check{C}(X) = \Psi(X, bX) = kcov(bX \setminus X)$ .

*Proof.* The equality  $\Psi(X, bX) = kcov(bX \setminus X)$  is trivial.

Let  $i^*: \beta X \rightarrow bX$  be the continuous extension of the identity mapping  $i: X \rightarrow X$ . Then  $i^*(\beta X \setminus X) = bX \setminus X$ , and the restriction of  $i^*$  to  $\beta X \setminus X$  is perfect, so  $\check{C}(X) = kcov(\beta X \setminus X) = kcov(bX \setminus X) = \Psi(X, bX)$ .  $\square$

**1.9. Proposition.** If  $Y$  is a closed subspace of  $X$ , then  $\check{C}(Y) \leq \check{C}(X)$ .

*Proof.* Let  $bY$  be the closure of  $Y$  in  $\beta X$ , and let  $\mathcal{U}$  be a family of open sets in  $\beta X$  such that  $|\mathcal{U}| = \check{C}(X)$  and  $X = \bigcap \mathcal{U}$ . Then  $\mathcal{V} = \{U \cap bY : U \in \mathcal{U}\}$  is a family of open sets in  $bY$  such that  $Y = \bigcap \mathcal{V}$ , and  $|\mathcal{V}| \leq \check{C}(X)$ .  $\square$

**1.10. Proposition.** *If  $\{X_\alpha : \alpha \in A\}$  is a family of spaces and  $\prod\{X_\alpha : \alpha \in A\}$  is not locally compact, then*

$$\check{C}\left(\prod\{X_\alpha : \alpha \in A\}\right) = |A| \cdot \sup\{\check{C}(X_\alpha) : \alpha \in A\}.$$

*Proof.* Since  $\check{C}(Y \times K) = \check{C}(Y)$  holds for every space  $Y$  and every compact space  $K$ , we can assume, without loss of generality, that  $X_\alpha$  is not compact for every  $\alpha \in A$ .

Let  $X = \prod\{X_\alpha : \alpha \in A\}$ . Since  $bX = \prod\{\beta X_\alpha : \alpha \in A\}$  is a compactification of  $X$ , it is sufficient to verify  $\Psi(X, bX) = \tau$  where  $\tau = |A| \cdot \sup\{\check{C}(X_\alpha) : \alpha \in A\}$ . First we prove that  $\Psi(X, bX) \leq \tau$ :

For every  $\alpha \in A$ , fix a family  $\mathcal{U}_\alpha$  of open sets in  $\beta X_\alpha$  so that  $|\mathcal{U}_\alpha| = \check{C}(X_\alpha)$  and  $X_\alpha = \bigcap \mathcal{U}_\alpha$ . Put  $\mathcal{V}_\alpha = \{p_\alpha^{-1}(U) : U \in \mathcal{U}_\alpha\}$  where  $p_\alpha : bX \rightarrow \beta X_\alpha$  is the projection, and  $\mathcal{V} = \bigcup\{\mathcal{V}_\alpha : \alpha \in A\}$ . Then  $\mathcal{V}$  is a family of open sets in  $bX$ ,  $|\mathcal{V}| \leq \tau$ , and  $X = \bigcap \mathcal{V}$ .

Now, we will verify that  $\Psi(X, bX) \geq \tau$ . By Proposition 1.9, we have that  $\Psi(X, bX) \geq \sup\{\check{C}(X_\alpha) : \alpha \in A\}$ .

Since  $X$  is not locally compact,  $\Psi(X, bX) \geq \aleph_0$ . So, to prove  $\Psi(X, bX) \geq |A|$  it is enough to verify that  $k\text{cov}(bX \setminus X) \geq |A|$  when  $A$  is infinite. Fix, for each  $\alpha \in A$ ,  $a_\alpha \in (\beta X_\alpha \setminus X_\alpha)$  and  $b_\alpha \in X_\alpha$ .

Let  $Z$  be the set of all points  $(z_\alpha)_{\alpha \in A}$  of  $bX$  whose  $\alpha$ -th coordinate is equal to either  $b_\alpha$  or  $a_\alpha$  for every  $\alpha \in A$ , and at most for one  $\alpha$ ,  $z_\alpha = a_\alpha$ . It is easy to see that every neighborhood in  $bX$  of the point  $\tilde{b} = (b_\alpha)_{\alpha \in A}$  contains all but finitely many points of  $Z$ . It follows that  $Z$  is homeomorphic to the one-point compactification of the discrete space of cardinality  $|A|$ ; hence, its intersection with  $bX \setminus X$  (equal to  $Z \setminus \{\tilde{b}\}$ ) is closed and discrete in  $bX \setminus X$ . Thus,  $bX \setminus X$  has a closed discrete subspace of cardinality  $|A|$ . Since the  $k$ -covering number is hereditary with respect to closed sets, it follows that  $k\text{cov}(bX \setminus X) \geq |A|$ .  $\square$

**1.11. Corollary.** *If  $X_\alpha : \alpha \in A$  is a family of subspaces of a space  $X$ , then*

$$\check{C}\left(\bigcap\{X_\alpha : \alpha \in A\}\right) \leq |A| \cdot \sup\{\check{C}(X_\alpha) : \alpha \in A\}.$$

This follows from Proposition 1.9, Proposition 1.10, and the fact that the intersection  $\bigcap\{X_\alpha : \alpha \in A\}$  is homeomorphic to a closed subspace of the product  $\prod\{X_\alpha : \alpha \in A\}$ .

In particular,

**1.12. Proposition.** *If  $Y$  is a  $G_\tau$ -set in  $X$ , then  $\check{C}(Y) \leq \tau \cdot \check{C}(X)$ .*

*Proof.* By Corollary 1.11, it is enough to verify that  $\check{C}(G) \leq \check{C}(X)$  if  $G$  is open in  $X$ . Let  $\mathcal{U}$  be a family of open sets in  $\beta X$  such that  $|\mathcal{U}| = \check{C}(X)$  and  $X = \bigcap \mathcal{U}$ , and let  $G'$  be an open set in  $\beta X$  such that  $G = G' \cap X$ . Let  $bG$  be the closure of  $G$  in  $\beta X$ , and put  $\mathcal{V} = \{G' \cap bG\} \cup \{U \cap bG : U \in \mathcal{U}\}$ . Then  $\mathcal{V}$  is a family of open sets in the compactification  $bG$  of  $G$ ,  $G = \bigcap \mathcal{V}$ , and  $|\mathcal{V}| \leq \check{C}(X)$ .  $\square$

## 2. The relation between the Čech numbers of $C_p(X)$ and $C_p(X, I)$ .

We start from the comparison of the Čech numbers of  $C_p(X)$  and  $C_p(X, I)$ ; as we will see, the former is completely determined by the latter and the cardinality of  $X$ .

First note that  $C_p(X, I)$  is a closed subspace of  $C_p(X)$ , so  $\check{C}(C_p(X, I)) \leq \check{C}(C_p(X))$ .

The following lemma is a consequence of Proposition 1.10.

**2.1. Lemma.** *For every infinite cardinal  $\tau$ ,  $\check{C}(\mathbb{R}^\tau) = \tau$ .*

**2.2. Proposition.** *If  $\check{C}(C_p(X, I)) \leq \tau$ , then there are disjoint clopen subspaces  $X_0$  and  $X_1$  of  $X$  such that  $X = X_0 \cup X_1$ ,  $|X_0| \leq \tau$ , and  $X_1$  is discrete.*

*Proof.* For a finite set  $A \subset X$  and a real number  $\varepsilon > 0$  define the set

$$O(A, \varepsilon) = \{ f \in I^X : |f(a)| < \varepsilon \text{ for all } a \in A \}.$$

Then the family

$$\{ O(A, \varepsilon) : A \text{ is a finite set in } X, \varepsilon > 0 \}$$

is a base for  $I^X$  at the point 0 (the function equal to 0 at all points of  $X$ ). Note that  $O(A, \varepsilon)$  contains the set  $Z(A)$  of all functions in  $I^X$  whose restrictions to  $A$  are zero.

Let  $\mathcal{U}$  be a family of cardinality  $\tau = \check{C}(C_p(X, I))$  of open sets in  $I^X$  such that  $C_p(X, I) = \bigcap \mathcal{U}$ . For every  $U \in \mathcal{U}$  there is a finite set  $A_U \subset X$  and an  $\varepsilon_U > 0$  such that  $O(A_U, \varepsilon_U) \subset U$ . In particular,  $Z(A_U) \subset U$ . Let  $B = \bigcup \{ A_U : U \in \mathcal{U} \}$ . Then  $|B| \leq \tau$  if  $\tau$  is infinite, and  $B$  is finite if  $\tau = 1$ . Furthermore,  $Z(B) = \bigcap \{ Z(A_U) : U \in \mathcal{U} \} \subset \bigcap \mathcal{U} = C_p(X, I)$ ; hence, every function in  $I^X$  whose restriction to  $B$  is equal to 0, is continuous. In particular, the characteristic function of  $X \setminus B$  is continuous, whence  $B$  is clopen in  $X$ . Besides, every function from  $X \setminus B$  to  $I$  is continuous, because it is the restriction to  $X \setminus B$  of a function on  $X$  equal to 0 at every point of  $B$ . Thus,  $X \setminus B$  is clopen and discrete.

To end the proof, put  $X_0 = B$  and  $X_1 = X \setminus B$  if  $\tau$  is infinite, and  $X_0 = \emptyset$  and  $X_1 = X$  if  $\tau = 1$ .  $\square$

Now all is ready to prove the main theorem of this section

**2.3. Theorem.** *For every infinite space  $X$ ,  $\check{C}(C_p(X)) = |X| \cdot \check{C}(C_p(X, I))$ .*

*Proof.* Since always  $\check{C}(C_p(X)) \geq \check{C}(C_p(X, I))$ , we only need to prove  $\check{C}(C_p(X)) \leq |X|$  and  $\check{C}(C_p(X)) \leq |X| \cdot \check{C}(C_p(X, I))$ .

Suppose by contradiction that  $\tau = \check{C}(C_p(X)) < |X|$ . We have  $\check{C}(C_p(X, I)) \leq \tau$ , so by Proposition 2.2, there is a clopen partition  $\{X_0, X_1\}$  of  $X$  such that  $|X_0| \leq \tau$  and  $X_1$  is discrete. Since  $|X| > \tau$ , it follows that  $|X_1| = |X|$ . We have

$$C_p(X) = C_p(X_0) \times C_p(X_1) = C_p(X_0) \times \mathbb{R}^{X_1},$$

so  $\mathbb{R}^{X_1}$  is homeomorphic to a closed set in  $C_p(X)$ , and

$$|X| = |X_1| = \check{C}(\mathbb{R}^{X_1}) \leq \check{C}(C_p(X)) = \tau,$$

a contradiction.

Let us now verify that  $\check{C}(C_p(X)) \leq |X| \cdot \check{C}(C_p(X, I))$ . Let  $S = \mathbb{R} \cup \{-\infty, \infty\}$  be the compactification of  $\mathbb{R}$  homeomorphic to  $I$ ; then  $C_p(X, S)$  is homeomorphic to  $C_p(X, I)$ , so it is enough to prove that  $\check{C}(C_p(X)) \leq |X| \cdot \check{C}(C_p(X, S))$ . But  $C_p(X) = \mathbb{R}^X \cap C_p(X, S)$ , and the required inequality follows from Lemma 2.1 and Corollary 1.11.  $\square$

### 3. $K(\tau, \lambda)$ -analytic spaces.

As we have seen, the calculation of the Čech number of a space reduces to the calculation of the compact-covering number of its complement in a compactification; for the spaces of the form  $C_p(X, I)$  we have  $I^X$  as a natural compactification. We will now introduce certain classes of spaces that arise naturally in the calculation of the compact-covering numbers.

**3.1. Definition.** Let  $\tau$  and  $\lambda$  be cardinals such that  $\tau \geq 1$ . We say that a space  $X$  is  $K(\tau, \lambda)$ -analytic if  $X$  is a continuous image of a closed subspace of a product of  $\tau^\lambda$  and a compact space.

Thus, for example, a space  $X$  is a  $K(1, \lambda)$ -space if and only if  $X$  is compact,  $X$  is a  $K(\tau, 1)$ -space if and only if it is a union of  $\leq \tau$  compact spaces, and the class of  $K(\omega, \omega)$ -spaces is exactly the class of all  $K$ -analytic spaces.

We denote the class of all  $K(\tau, \lambda)$ -analytic spaces as  $\mathcal{K}(\tau, \lambda)$ . Obviously, all compact sets are  $K(\tau, \lambda)$ -analytic for any  $\tau \geq 1$ ,  $\mathcal{K}(\tau, \lambda) \subset \mathcal{K}(\sigma, \lambda)$  if  $\tau \leq \sigma$  and  $\mathcal{K}(\tau, \lambda) \subset \mathcal{K}(\tau, \mu)$  if  $\lambda \leq \mu$ .

Since the  $k$ -covering number is not increased in continuous images, closed subspaces and products with compact spaces, we have

**3.2. Proposition.** *If  $X$  is  $K(\tau, \lambda)$ -analytic, then  $kcov(X) \leq kcov(\tau^\lambda)$ .*

**3.3. Proposition.** *Let  $\tau$  and  $\lambda$  be infinite cardinals. The class  $\mathcal{K}(\tau, \lambda)$  is invariant with respect to continuous images, closed subspaces, unions of families of cardinality  $\leq \tau$ , products of families of cardinality  $\leq \lambda$ , and intersections of families of cardinality  $\leq \lambda$ .*

*Proof.* The invariance with respect to continuous images and closed subspaces is immediate from the definition. The union of a family of cardinality  $\leq \tau$  of  $K(\tau, \lambda)$ -analytic spaces can be represented as the continuous image of a closed subspace of the sum of  $\tau$  copies of the product of  $\tau^\lambda$  with a compact space, which is homeomorphic to the product of  $\tau^\lambda$  with a compact space. The product of a family of cardinality  $\leq \lambda$  of  $K(\tau, \lambda)$ -analytic spaces can be represented as a continuous image (under the product mapping) of a closed subspace (the product of closed subspaces) of the product of  $(\tau^\lambda)^\lambda = \tau^\lambda$  with a compact space. Finally, an intersection of a family of cardinality  $\leq \lambda$  of  $K(\tau, \lambda)$ -analytic spaces is homeomorphic to a closed subspace of the product of this family.  $\square$

*Remark.* Assume that  $\tau$  is smaller than the first weakly inaccessible cardinal. Then  $\omega^\tau$  contains a closed discrete space of cardinality  $\tau$  [Myc]; it follows that the classes  $\mathcal{K}(\tau, \lambda)$  and  $\mathcal{K}(\omega, \lambda)$  coincide whenever  $\lambda \geq \tau$ .

**3.4. Corollary.** *If  $X$  is an  $F_{\tau\lambda}$ -set in a compact space, then  $X$  is  $K(\tau, \lambda)$ -analytic, and hence  $kcov(X) \leq kcov(\tau^\lambda)$ .*

It is easy to see that in fact,  $\mathcal{K}(\tau, \lambda)$  is the minimal class of spaces that contains all compact spaces and is closed with respect to closed subspaces, continuous images, unions of families of cardinalities  $\leq \tau$  and products of families of cardinalities  $\leq \lambda$  (and also the minimal class of spaces that contains all compact spaces and is closed with respect to continuous images and  $F_{\tau\lambda}$ -subspaces).

**3.5. Corollary.** *If  $X$  is a  $G_{\lambda\tau}$ -set in a compact space, then  $\check{C}(X) \leq kcov(\tau^\lambda)$ .*

Indeed, if  $X$  is a  $G_{\lambda\tau}$ -set in some compact space, then it is also  $G_{\lambda\tau}$  in its closure in this space, hence, in some of its compactification, and the complement of a  $G_{\lambda\tau}$ -set is an  $F_{\tau\lambda}$ -set.

In some cases, we can calculate the numbers  $kcov(\tau^\lambda)$ . Of course, always  $kcov(\tau^\lambda) \geq \tau$ . Now,  $kcov(\omega^\omega) = \mathfrak{d}$  (see, e.g., [vDou]; or we can consider this equality as the definition of  $\mathfrak{d}$ ).

**3.6. Proposition.** *If  $\omega \leq \tau < \omega_\omega$ , then  $kcov(\tau^\omega) = \tau \cdot \mathfrak{d}$ .*

*Proof.* We will prove the statement for  $\tau = \omega_n$  by induction on  $n$ . If  $\tau = \omega = \omega_0$ , the statement is true. Assuming that the equality is already proved for some  $\tau = \omega_n$ , we have, using  $cf(\omega_{n+1}) > \omega$ ,

$$\omega_{n+1}^\omega = \bigcup \{ \alpha^\omega : \alpha \in \omega_{n+1} \},$$

and since for every  $\alpha \in \omega_{n+1}$  we have  $|\alpha| \leq \omega_n$ , we have represented  $\omega_{n+1}^\omega$  as the union of  $\omega_{n+1}$  subspaces whose  $k$ -covering numbers do not exceed  $\omega_n \cdot \mathfrak{d}$ .  $\square$

Similarly,

**3.7. Proposition.** *For every infinite cardinal  $\tau \geq \lambda$ ,  $kcov((\tau^+)^{\lambda}) = \tau^+ \cdot kcov(\tau^\lambda)$ .*

and, somewhat more generally,

**3.8. Proposition.** *If  $cf(\tau) > \lambda$ , then*

$$kcov(\tau^\lambda) = \tau \cdot \sup \{ kcov(\mu^\lambda) : \mu < \tau \}.$$

It is easy to see that  $kcov((\omega_\omega)^\omega) > \omega_\omega$ ; the particular value of this number obviously is very dependent on additional set-theoretic assumptions.

Obviously,  $kcov(\mathfrak{c}^\omega) = \mathfrak{c}$ ; we do not know the answer to the following:

**3.9. Question.** Is it true that  $kcov(\mathfrak{d}^\omega) = \mathfrak{d}$ ?

#### 4. The Čech numbers for some $C_p(X, I)$ .

In this section we calculate the Čech numbers for some spaces  $C_p(X, I)$ . Since  $I^X$  is a compactification of  $C_p(X, I)$ , trivially,  $\check{C}(C_p(X, I)) \leq |I^X| = 2^{|X|}$ .

**4.1. Proposition.** *Let  $X$  be a space such that  $C_p(X, I)$  is an  $F_{\tau\lambda}$ -set in  $I^X$ . Then  $\check{C}(C_p(X, I)) \leq kcov((|X| \cdot \tau)^\lambda)$ .*

*Proof.* If  $U$  is an open set in  $I^X$ , then, since  $I^X$  is compact and has the weight equal to  $|X|$ ,  $U$  is the union of at most  $|X|$  compact spaces, hence  $K(|X| \cdot \tau, \lambda)$ -analytic. By Proposition 3.3, it follows that every  $G_{\lambda\tau}$ -set in  $I^X$  is  $K(|X| \cdot \tau, \lambda)$ -analytic, and hence has the  $k$ -covering number less or equal to  $kcov((|X| \cdot \tau)^\lambda)$ . The statement now follows from Proposition 1.8 and the fact that the complement of an  $F_{\tau\lambda}$ -set is a  $G_{\lambda\tau}$ -set.  $\square$

For a space  $X$ , denote by  $X'$  the set of all nonisolated points of  $X$ .

**4.2. Theorem.** *Let  $X$  be a subspace of  $C_p(Y)$ . Then*

$$\check{C}(C_p(X, I)) \leq kcov((|X| \cdot kcov(Y))^{kcov(X')+\omega}).$$

*Proof.* Let  $\tau = kcov(Y)$  and  $\lambda = kcov(X') + \omega$ . By Proposition 4.1, it is enough to prove that under the conditions of the theorem,  $C_p(X, I)$  is an  $F_{\tau\lambda}$ -set in  $I^X$ .

Let  $X' = \bigcup \{K_\alpha : \alpha \in \lambda\}$  where each  $K_\alpha$  is compact, and for every  $\alpha \in \lambda$  let

$$C_\alpha = \{f \in I^X : f \text{ is continuous at every point of } K_\alpha\}.$$

Clearly,

$$C_p(X, I) = \bigcap \{C_\alpha : \alpha \in \lambda\},$$

so it suffices to prove that every  $C_\alpha$  is an  $F_{\tau\delta}$ -set in  $I^X$ .

Since the  $k$ -covering number is not increased by finite products, countable unions, closed subspaces and continuous images, and  $kcov(Z) \leq \tau$  implies that  $Z$  is an  $F_\tau$ -set in any larger space, the required statement follows from the next lemma.  $\square$

**4.3. Lemma.** *Let  $X$  be a subspace of  $C_p(Y)$ ,  $K$  a compact set in  $X$ , and let  $C$  be the set of all functions in  $I^X$  that are continuous at every point of  $K$ . Then there is a family  $\{B_{mn} : m \in \mathbb{N}^+, n \in \mathbb{N}^+\}$  of subsets of  $I^X$  such that*

- (1)  $C = \bigcap_{m \in \mathbb{N}^+} \bigcup_{n \in \mathbb{N}^+} B_{mn}$ , and
- (2) for any  $m, n \in \mathbb{N}^+$ ,  $B_{mn}$  is a continuous image of a closed subspace of  $Y^n \times I^X$ .

*Proof of the lemma.* For every  $n, m \in \mathbb{N}^+$  let

$$B_{mn} = \{f \in I^X : \text{there is } (y_1, \dots, y_n) \in Y^n \text{ such that } |f(z) - f(x)| \leq 1/m \\ \text{whenever } x \in K, z \in X, \text{ and } |z(y_i) - x(y_i)| < 1/n, i = 1, \dots, n\}$$

$$\text{CLAIM 1. } C = \bigcap_{m \in \mathbb{N}^+} \bigcup_{n \in \mathbb{N}^+} B_{mn}.$$

The inclusion  $\bigcap_{m \in \mathbb{N}^+} \bigcup_{n \in \mathbb{N}^+} B_{mn} \subset C$  is trivial. To prove the inverse inclusion, let  $f_0 \in C$  and  $m \in \mathbb{N}^+$ . We will find an  $n \in \mathbb{N}^+$  so that  $f_0 \in B_{mn}$ .

For every  $x \in K$  there are  $n_x \in \mathbb{N}^+$  and points  $y_{1x}, \dots, y_{n_x x} \in Y$  such that the inequalities  $|z(y_{1x}) - x(y_{1x})| < 1/n_x, \dots, |z(y_{n_x x}) - x(y_{n_x x})| < 1/n_x$  imply  $|f_0(z) - f_0(x)| < 1/2m$ . The sets of the form

$$U_x = \{z \in X : |z(y_{1x}) - x(y_{1x})| < 1/n_x, \dots, |z(y_{n_x x}) - x(y_{n_x x})| < 1/2n_x\}$$

$x \in X$ , are open in  $I^X$  and cover  $K$ . Let  $\{U_{x_1}, \dots, U_{x_k}\}$  be a finite subfamily of  $\{U_x : x \in K\}$  that covers  $K$ . Put  $n = 2(n_{x_1} + \dots + n_{x_k})$ , and let  $\bar{y} = \{y_1, \dots, y_n\}$  be a point in  $Y^n$  such that each point  $y_{jx_l}, l \leq k, j \leq n_{x_l}$  is equal to at least one of  $y_i, i \leq n$ .

Let us verify that  $f_0 \in B_{mn}$ . Suppose  $x \in K$  and  $z \in X$  are such that  $|z(y_1) - x(y_1)| < 1/n, \dots, |z(y_n) - x(y_n)| < 1/n$ . Then there is an  $l \leq k$  such that  $x \in U_{x_l}$ , and since  $n \geq 2n_{x_l}$  and the set  $\{y_{1x_l}, \dots, y_{n_{x_l}x_l}\}$  is contained in the set  $\{y_1, \dots, y_n\}$ , we have

$$|x(y_{1x_l}) - x_l(y_{1x_l})| < 1/n_{x_l}, \dots, |x(y_{n_{x_l}x_l}) - x_l(y_{n_{x_l}x_l})| < 1/n_{x_l}$$

and

$$|z(y_{1x_l}) - x_l(y_{1x_l})| < 1/n_{x_l}, \dots, |z(y_{n_{x_l}x_l}) - x_l(y_{n_{x_l}x_l})| < 1/n_{x_l},$$

whence  $|f_0(x) - f_0(x_l)| < 1/2m, |f_0(z) - f_0(x_l)| < 1/2m$ , and  $|f_0(x) - f_0(z)| < 1/m$ .

CLAIM 2. *The set  $B_{mn}$  is a continuous image of a closed subspace of  $Y^n \times I^X$ .*  
Let

$$F_{mn} = \{(y_1, \dots, y_n, f) \in Y^n \times I^X : |f(z) - f(x)| \leq 1/m \\ \text{whenever } x \in K, z \in X, \text{ and } |z(y_i) - x(y_i)| < 1/n, i = 1, \dots, n\}.$$

Then  $B_{mn}$  is the image of  $F_{mn}$  under the projection to  $I^X$ , and it is sufficient to verify that  $F_{mn}$  is closed in  $Y^n \times I^X$ .

Suppose  $(y_1^0, \dots, y_n^0, f_0) \in (Y^n \times I^X) \setminus F_{mn}$ . Then there are  $x_0 \in K$  and  $z_0 \in X$  such that  $|z_0(y_i^0) - x_0(y_i^0)| < 1/n, i = 1, \dots, n$ , and  $|f_0(z_0) - f_0(x_0)| > 1/m$ . The set

$$U = \{(y_1, \dots, y_n, f) \in Y^n \times I^X : \\ |z_0(y_i) - x_0(y_i)| < 1/n, i = 1, \dots, n, \text{ and } |f(z_0) - f(x_0)| > 1/m\}$$

is then a neighborhood of  $(y_1^0, \dots, y_n^0, f_0)$  in  $Y^n \times I^X$  disjoint from  $F_{mn}$ .  $\square$

Recall that a space  $X$  is an *Eberlein-Grothendieck space* (or an *EG-space*) [Arh1] if  $X$  is homeomorphic to a subspace of  $C_p(K)$  for some compact space  $K$ . Note that, in particular, all metrizable spaces are EG-spaces [Arh1].

**4.4. Corollary.** *If  $X$  is an EG-space, then  $\check{C}(C_p(X, I)) \leq kcov((|X|)^{kcov(X')+\omega})$ .*

The compact EG-spaces are called *Eberlein compact spaces* [Arh1].

**4.5. Corollary.** *If  $X$  is an Eberlein compact space (in particular, if  $X$  is a metrizable compact space), then  $\check{C}(C_p(X, I)) \leq kcov(|X|^\omega)$ .*

In particular,



**4.6. Corollary.** *If  $X$  is an Eberlein compact space, and  $|X| < \omega_\omega$ , then*

$$\check{C}(C_p(X, I)) \leq |X| \cdot \mathfrak{d}.$$

Recall that if  $Y \subset X$ , then a family  $\mathcal{B}$  of open sets in  $X$  is called a *external base for  $Y$  in  $X$*  if for every  $y \in Y$  and a neighborhood  $U$  of  $y$  in  $X$ , there is a  $B \in \mathcal{B}$  such that  $y \in B \subset U$ . The minimal cardinality of an external base for  $Y$  in  $X$  is called the *external weight of  $Y$  in  $X$*  and is denoted as  $w(Y, X)$ .

**4.7. Corollary.** *Always  $\check{C}(C_p(X, I)) \leq \text{kcov}(|X| \cdot w(X', X))^{k\text{cov}(X') + \omega}$ .*

Call the *essential cardinality of a space  $X$* ,  $ec(X)$ , the minimal cardinality of a subspace of  $X$  whose complement is clopen and discrete. Thus, Proposition 2.2 says that

**4.8. Corollary.**  $ec(X) \leq \check{C}(C_p(X, I))$ .

Every space  $X$  has a subspace  $X_0$  such that  $|X_0| = ec(X_0)$  and  $X_1 = X \setminus X_0$  is clopen and discrete; we have  $C_p(X, I) = C_p(X_0, I) \times C_p(X_1, I) = C_p(X_0, I) \times I^{X_1}$ . Since  $I^{X_1}$  is compact,  $\check{C}(C_p(X, I)) = \check{C}(C_p(X_0, I))$ . It follows that in all the statements in this section we can replace  $|X|$  by  $ec(X)$ . Corollary 4.8 also gives us the first lower bound for the Čech number of  $C_p(X, I)$ .

**4.9. Corollary.** *If  $X$  is a  $\sigma$ -compact EG-space of cardinality  $\mathfrak{c}$ , then  $\check{C}(C_p(X, I)) = \check{C}(C_p(X)) = \mathfrak{c}$ .*

In particular,

**4.10. Corollary.** *If  $X$  is an uncountable  $\sigma$ -compact metrizable space, then*

$$\check{C}(C_p(X, I)) = \check{C}(C_p(X)) = \mathfrak{c}.$$

We will now establish another lower bound.

**4.11. Lemma.** *Let  $S = \{0\} \cup \{1/n : n \in \mathbb{N}^+\}$ . Then  $\check{C}(C_p(S, I)) = \mathfrak{d}$ .*

*Proof.* Corollary 4.5,  $\check{C}(C_p(S, I)) \leq \text{kcov}(|S|^\omega) = \text{kcov}(\omega^\omega) = \mathfrak{d}$ . On the other hand,  $C_p(S, I)$  is an  $F_{\sigma\delta}$  set, hence Borelian in  $I^S$  (a well-known fact, which also easily follows from Lemma 4.3), which is not a  $G_\delta$ -set. By the Hurewicz theorem (see, e.g. Corollary 21.21 in [Kech]),  $C_p(S, I)$  contains a closed homeomorphic copy of  $\mathbb{Q}$ , so  $\check{C}(C_p(S, I)) \geq \check{C}(\mathbb{Q}) = \text{kcov}(\mathbb{P}) = \mathfrak{d}$ .  $\square$

**4.12. Corollary.** *If  $X$  contains a convergent sequence, then  $\check{C}(C_p(X, I)) \geq \mathfrak{d}$ .*

*Proof.* Let  $T \subset X$  be a convergent sequence. Fix a countable set  $\{f_n : n \in \omega\}$  of continuous functions from  $X$  to  $I$  that separates points of  $T$ , and consider the diagonal product  $F = \Delta\{f_n : n \in \omega\} : X \rightarrow I^\omega$ . Obviously, the space  $Y = F(X)$  is metrizable, and by the compactness of  $T$ , the restriction  $F_T = F|_T : T \rightarrow F(T)$  is a homeomorphism. By the Dugundji Extension Theorem [Dug], there is an extension operator  $\psi : C_p(F(T), I) \rightarrow C_p(Y, I)$ , that is, a mapping  $\psi$  such that  $\psi(f)|_{F(T)} = f$  for every  $f \in C_p(F(T), I)$ ; it is easy to see from the construction of  $\psi$  in [Dug] that  $\psi$  is continuous with respect to the topologies of pointwise convergence. Define  $\phi : C_p(T, I) \rightarrow C_p(X, I)$  by putting  $\phi(g) = \psi((F_T^{-1} \circ g)) \circ F$  for all  $g \in C_p(T, I)$ .

Then  $\phi$  is a continuous extension operator. The subspace  $\phi(C_p(T, I))$  of  $C_p(X, I)$  is homeomorphic to  $C_p(T, I)$  under  $\phi$  (the inverse mapping  $g \mapsto g|T$  is continuous), and is a retract of  $C_p(X, I)$  (with the retraction  $g \mapsto \phi(g|T)$ ), hence is closed in  $C_p(X, I)$ . Therefore,  $\check{C}(C_p(X, I)) \geq \check{C}(C_p(T, I)) = \check{C}(C_p(S, I)) = \mathfrak{d}$ .  $\square$

**4.13. Corollary.** *If  $X$  is a non-discrete metrizable space, then  $\check{C}(C_p(X, I)) \geq \mathfrak{d}$ .*

**4.14. Corollary.** *If  $X$  is a  $\sigma$ -compact metrizable space, then either  $\check{C}(C_p(X, I)) = 1$  (if  $X$  is discrete), or  $\check{C}(C_p(X, I)) = \mathfrak{d}$  (if  $X$  is countable non-discrete), or  $\check{C}(C_p(X, I)) = \mathfrak{c}$  (if  $X$  is uncountable).*

*Proof.* If  $X$  is countable and not discrete, then  $\check{C}(C_p(X, I)) \leq \mathfrak{d}$  by Corollary 4.5 and  $\check{C}(C_p(X, I)) \geq \mathfrak{d}$  by Corollary 4.13.

If  $X$  is uncountable, then  $\check{C}(C_p(X, I)) = \mathfrak{c}$  by Corollary 4.10.  $\square$

Since every infinite Eberlein compact space contains a convergent sequence (see Theorem 3.3.6 in [Arh2]), and obviously,  $ec(X) = |X|$  for every infinite compact space  $X$ , we get

**4.15. Corollary.** *If  $X$  is an infinite Eberlein compact space, then  $\check{C}(C_p(X)) \geq |X| \cdot \mathfrak{d}$ .*

Combining this with Corollary 4.6, we obtain

**4.16. Proposition.** *If  $X$  is an infinite Eberlein compact space, and  $|X| < \omega_\omega$ , then  $\check{C}(C_p(X, I)) = |X| \cdot \mathfrak{d}$ .*

The bounds we obtained for the Čech numbers of  $C_p(X, I)$  for Eberlein compact spaces of cardinalities  $\omega_\omega$  and higher generally do not match, and we do not know now how to obtain the exact numbers, or even whether the cardinality of an Eberlein compact space  $X$  determines completely the Čech number of  $C_p(X, I)$ .

It seems worth to mention also the following consequence of Corollary 4.13.

**4.17. Proposition.** *If  $X$  is an infinite pseudocompact space, then  $\check{C}(C_p(X, I)) \geq \mathfrak{d}$ .*

*Proof.* If  $X$  is infinite and pseudocompact, then there is a continuous mapping  $F$  of  $X$  onto a non-discrete metrizable space  $M$ . By Theorem 7 in [Arh3], the mapping  $F$  is  $R$ -quotient, and by Proposition 0.4.10 in [Arh2], the dual mapping  $F^*: C_p(M, I) \rightarrow C_p(X, I)$  is a closed embedding. Hence,  $\check{C}(C_p(X, I)) \geq \check{C}(C_p(M, I)) \geq \mathfrak{d}$ .  $\square$

In the end of the section we find the Čech number of the function space for the space  $\mathbb{P} = \omega^\omega$ .

Recall that a space  $X$  is called *analytic* if it is a continuous image of  $\mathbb{P}$ ; a set  $A$  in a second-countable space  $Z$  is *coanalytic* if its complement in  $Z$  is analytic.

**4.18. Theorem.**  $\check{C}(C_p(\mathbb{P}, I)) = \mathfrak{c}$ .

*Proof.* Since  $ec(\mathbb{P}) = \mathfrak{c}$ , we have  $\check{C}(C_p(\mathbb{P}, I)) \geq \mathfrak{c}$  by Corollary 4.8.

For every  $A \subset \mathbb{P}$  let  $r_A: C_p(\mathbb{P}) \rightarrow C_p(A)$  be the restriction mapping defined by  $r_A(f) = f|A$ . Denote  $C_p(X|A) = r_A(C_p(X))$  and  $C_p(X|A, I) = r_A(C_p(X, I))$ .

It is proved in [Ok] that for every dense  $A \subset \mathbb{P}$ , the space  $C_p(X|A)$  is coanalytic in  $\mathbb{R}^A$ . Since  $I^A$  is closed in  $\mathbb{R}^A$ , the analyticity is preserved by closed subsets, and  $C_p(\mathbb{P}|A, I) = C_p(\mathbb{P}|A) \cap I^A$ , the set  $C_p(X|A, I)$  is coanalytic in  $I^A$ . Since  $kcov(Z) \leq kcov(\mathbb{P}) = \mathfrak{d}$  for every analytic space  $Z$ , it follows that  $C_p(X|A, I)$  is a  $G_{\mathfrak{d}}$ -set in  $I^A$ .

Obviously, a function  $f: \mathbb{P} \rightarrow I$  is continuous if and only if its restrictions to every dense countable set in  $\mathbb{P}$  admits a continuous extension to  $\mathbb{P}$ . In other words,

$$C_p(\mathbb{P}, I) = \bigcap \{ r_A^{-1}(C_p(\mathbb{P}|A, I)) : A \text{ is a countable dense subset of } \mathbb{P} \}.$$

For every dense countable  $A$  in  $\mathbb{P}$ , the preimage  $r_A^{-1}(C_p(\mathbb{P}|A, I))$  of a  $G_{\mathfrak{d}}$ -set in  $I^A$  under the continuous mapping  $r_A$  is a  $G_{\mathfrak{d}}$ -set in  $I^{\mathbb{P}}$ . Since there are  $\mathfrak{c}$  countable dense sets in  $\mathbb{P}$ , we obtain a representation of  $C_p(\mathbb{P}, I)$  as the intersection of  $\mathfrak{c}$  of  $G_{\mathfrak{d}}$  sets in a compact space  $I^{\mathbb{P}}$ , and hence  $\check{C}(C_p(\mathbb{P}, I)) \leq \mathfrak{c}$ .  $\square$

**4.19. Question.** Let  $X$  be a metrizable analytic non- $\sigma$ -compact space of cardinality  $\mathfrak{c}$ . Is it true that  $\check{C}(C_p(X, I)) = \mathfrak{c}$ ?

### 5. What is the minimal infinite Čech number of $C_p(X, I)$ ?

As we have already mentioned, it is an easy consequence of the results from [LM] and [Tk] that  $\omega$  is never the Čech number of a space  $C_p(X, I)$ . It seems probable from the results of the previous section that the minimal possible infinite value of  $\check{C}(C_p(X, I))$  might be  $\mathfrak{d}$ , but we could not prove or disprove this. Thus, the following question remains open:

**5.1. Question.** Is there a non-discrete space  $X$  such that  $\check{C}(C_p(X, I)) < \mathfrak{d}$ ?

We have found a lower bound for infinite values of  $\check{C}(C_p(X, I))$ , which is consistently equal to  $\mathfrak{c}$ , and hence is greater than any “given” cardinal.

Recall that the *Novak number* of a space  $X$  is

$$nov(X) = \min \{ |\mathcal{C}| : X = \bigcup \mathcal{C} \text{ and every element of } \mathcal{C} \text{ is nowhere dense in } X \}.$$

Let  $\mathfrak{N} = \min \{ \tau : I^{\tau} \text{ can be represented as a union of } \tau \text{ nowhere dense sets} \}$ .

Of course, always  $\omega_1 \leq \mathfrak{N} \leq \mathfrak{c}$ , and Martin’s Axiom implies  $\mathfrak{N} = \mathfrak{c}$ . Trivially,  $\mathfrak{N} \leq nov(\mathbb{R}) \leq \mathfrak{d}$ .

**5.2. Question.** Is it true that  $\mathfrak{N} = nov(\mathbb{R})$ ?

**5.3. Theorem.** *If  $X$  is a non-discrete space, then  $\check{C}(C_p(X, I)) \geq \mathfrak{N}$ .*

*Proof.* Suppose there is a non-discrete space  $X$  with  $\check{C}(C_p(X, I)) < \mathfrak{N}$ . By Proposition 2.2, there is a clopen set  $X_0$  in  $X$  such that  $|X_0| < \mathfrak{N}$  and  $X_1 = X \setminus X_0$  is discrete. Then  $X_0$  is not discrete, and  $C_p(X_0, I)$  is homeomorphic to a closed subspace of  $C_p(X, I)$ , whence  $\check{C}(C_p(X_0, I)) \leq \check{C}(C_p(X, I)) < \mathfrak{N}$ . Thus, we can assume without loss of generality that  $|X| < \mathfrak{N}$ . By Theorem 2.3, we get  $\check{C}(C_p(X)) < \mathfrak{N}$ .

Since  $X$  is not discrete, there is a discontinuous function  $f_0 \in \mathbb{R}^X$ . We have then  $(f_0 + C_p(X)) \cap C_p(X) = \emptyset$ , so  $(\mathbb{R}^X \setminus C_p(X)) \cup (\mathbb{R}^X \setminus (f_0 + C_p(X))) = \mathbb{R}^X$ .

Since  $C_p(X)$  is a dense set in  $\mathbb{R}^X$  that is the intersection of  $< \mathfrak{N}$  open sets, so is  $f_0 + C_p(X)$ , and hence both  $\mathbb{R}^X \setminus C_p(X)$  and  $\mathbb{R}^X \setminus (f + C_p(X))$  are unions of  $< \mathfrak{N}$  nowhere dense sets in  $\mathbb{R}^X$ . Thus, we have obtained a representation of  $\mathbb{R}^X$  with  $|X| < \mathfrak{N} \leq \text{nov}(\mathbb{R})$  as a union of  $< \mathfrak{N}$  nowhere dense sets, a contradiction with the definition of  $\mathfrak{N}$ .  $\square$

We have already mentioned several open problems in the text. The next question also seems very interesting, and not easily resolvable:

**5.4. Question.** What is the Čech number of  $\Sigma I^\tau$ ? (Here  $\Sigma I^\tau$  is the  $\Sigma$ -product of  $\tau$  copies of the segment  $I$ . Note that  $\Sigma I^\tau$  is homeomorphic to  $C_p(X, I)$  where  $X$  is the one-point Lindelöfication of the discrete space of cardinality  $\tau$ ).

In particular, what is the Čech number of  $\Sigma I^{\omega_1}$ ? Is it equal to  $\mathfrak{d}$ ?

Note that Corollary 4.8 and Corollary 4.7 yield  $\tau \leq \check{C}(\Sigma I^\tau) \leq \text{kcov}(\tau^\omega)$ .

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